IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Seth I. Merrin, John Halloran,

: Group Art Unit: 3624

Demian Kosofsky, Kevin Lupowitz.

Serial No.: 09/834,171

: Examiner: Charles R. Kyle

Filed: April 12, 2001

: Attorney Docket No.: 94193.010100

For: ELECT

ELECTRONIC SECURITIES

MARKETPLACE HAVING

INTEGRATION WITH ORDER MANAGEMENT SYSTEMS

ELECTRONIC MAIL CERTIFICATE

Date of Deposit:

October 4, 2006

I hereby certify that the following attached paper(s) and/or fee

- 1. Response regarding Notice for New Figures (2 pgs)
- 2. PTOL-85 Issue Fee Transmittal Form (in duplicate) Authorizing withdrawal of \$1400.00;
- 3. Copy of formal figures submitted May 21, 2004 (8 pgs)

are being transmitted electronically to the United States Patent Office on the date indicated above.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: October 4, 2006

/randi flamenbaum/

Randi Flamenbaum Legal Assistant

Barry J. Schindler, Esq.

GREENBERG TRAURIG LLP

Met Life Building 200 Park Avenue, 34th Floor New York, New York 10166

Phone: 212-801-2244 Fax: 212-801-6400